# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### SCHEDULE 13D

(Rule 13d-102)

INFORMATION TO BE IN INCLUDED IN STATEMENTS FILED PURSUANT TO RULE 13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO RULE 13d-2(a)

(Amendment No. 4)

## ANIXA BIOSCIENCES, INC.

(Name of Issuer)

Common Stock, \$0.01 par value per share (Title of Class of Securities)

03528H109 (CUSIP Number)

Amit Kumar c/o Anixa Biosciences, Inc.

c/o Anixa Biosciences, Inc. 3150 Almaden Expressway, Suite 250 San Jose, CA 90025

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

#### May 31, 2021

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. []

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties to whom copies are to be sent.

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

#### SCHEDULE 13D/A CUSIP NO. 03528H109

1.			NTIFICATION NO. OF ABOVE PERSON	
	Amit Kum	ar		
2.	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP			(a) [ ] (b) [ ]
3.	SEC USE C	ONLY		
4.	SOURCE C		DS	
5.	Not Applic CHECK BO		SISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e) [ ]	
6.	CITIZENSI	HIP OR	PLACE OF ORGANIZATION	
	United Stat	tes of A	merica	
		7.	SOLE VOTING POWER	
NUMBE	R OF		2,795,889	
SHARES BENEFI	CIALLY	8.	SHARED VOTING POWER	
OWNED	BY		lo	
EACH REPORTING		9.	SOLE DISPOSITIVE POWER	
PERSON			2,795,889	

WITH	10. SHARED DISPOSITIVE POWER			
11.	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON			
	2,795,889 shares, consisting of:			
	(a) 277,000 shares of common stock held directly. (b) 2,518,889 shares of common stock issuable upon exercise of stock options held directly by Reporting Person and exercisable within 60 days.			
12.	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES			
13.	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)			
	8.6%			
14.	TYPE OF REPORTING PERSON			
	IN			

Item 5. Interest in Securities of the Issuer.

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Item 5 is hereby amended and supplemented as follows:

On May 31, 2021, Dr. Amit Kumar owned a significant number of voting restricted stock awards that did not vest and were therefore forfeited resulting in a decrease in his beneficial ownership percentage. As of the date hereof, Dr. Kumar beneficially owns 2,795,889 shares of the Issuer, consisting of 277,000 shares of common stock held directly and 2,518,889 shares of common stock issuable upon exercise of stock options held directly and exercisable within 60 days. Such shares represent a total of 8.6% of the Issuer's outstanding shares of common stock.

On June 1, 2021, Dr. Kumar was granted 2,000,000 options to purchase shares of common stock at an exercise price of \$4.02 pursuant to the Anixa Biosciences, Inc. 2018 Share Incentive Plan (the "Plan"). The options expire on June 1, 2031 and vest according to the following schedule:

- (i) 500,000 shares vest if during any 5 trading day period, the average closing price of the issuer's common stock is at least \$5.00,
- (ii) 500,000 shares vest if during any 5 trading day period, the average closing price of the issuer's common stock is at least \$6.00,
- (iii) 500,000 shares vest if during any 5 trading day period, the average closing price of the issuer's common stock is at least \$7.00, and
- (iv) 500,000 shares vest if during any 5 trading day period, the average closing price of the issuer's common stock is at least \$8.00.

Additionally, on June 1, 2021, Dr. Kumar was granted 500,000 options to purchase shares of common stock at an exercise price of \$4.02 pursuant to the Plan. The options expire on June 1, 2031 and vest and become exercisable in thirty-six (36) equal monthly installments beginning June 30, 2021. Those shares vesting within 60 days are included in the 2,518,889 shares of common stock issuable upon exercise of stock options beneficially owned by Dr. Kumar as of the date hereof.

Dr. Kumar has the sole power to vote or direct the vote of, and to dispose or direct the disposition of, the entirety of the number of shares indicated immediately above. There are no other persons known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, such securities.

#### **SIGNATURES**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: June 10, 2021 /s/ Amit Kumar
Amit Kumar