UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: November 30, Estimated average burden hours per response..

OMB

Number:

OMB APPROVAL

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0287

2011

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

| Print or Type Respon | nses) | | | | | | | | | | |
|---|-------|---|-----------------|--------------------|----------|--|--------------------|---|--|----------------------------------|---|
| 1. Name and Addres BERMAN ROBE | 5 | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | |
| (Last) (C/O COPYTELE WHITMAN ROA | T | 3. Date of Earliest Transaction (Month/Day/Year) 11/08/2013 | | | | | | _X_ Officer (give title Other (specify below) below) President and CEO | | | |
| MELVILLE, NY | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | riva | ivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | |
| 1.Title of Security (Instr. 3) | | any | | Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price | | 1 | Securities Beneficially Owned | Ownership Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Reminder: Report on directly or indirectly. | • | ach clas | s of securities | Code es benefic | | Amount | (D) Pri | icc | | | |
| | | | | | in re | formatio | n conta o respo | ine nd | nd to the collection of the third in this form are nunless the form discontrol number. | ot | SEC 1474 (9-02) |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned $(\textit{e.g.}, \, \text{puts}, \, \text{calls}, \, \text{warrants}, \, \text{options}, \, \text{convertible securities})$

| Security (Instr. 3) | Conversion | Date (Month/Day/Year) | Execution Date, if | Code | tion) | Securities Acquired (A Disposed of (D) | or | Expiration I (Month/Day | 5. Date Exercisable and Expiration Date (Instr. 3 and 4) 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | Derivative Security (Instr. 5) | Derivative Securities Beneficially Owned Following | Ownership Form of Derivative Security: Direct (D) | Beneficial Ownership | |
|---|------------|--------------------------|--------------------|------|-----------|---|----|----------------------------|---|-----------------|--------------------------------------|--|---|----------------------------|--|
| | | | | Code | v | (Instr. 3, 4, a 5) | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) | or Indirect (I) (Instr. 4) | |
| Employee Stock Options (Right to Buy) (1) | \$ 0.20 | 11/08/2013 | | A | | 1,000,000 | | (2) | 11/08/2023 | Common Stock | 1,000,000 | \$ 0 | 1,000,000 | D | |

Reporting Owners

| Bonouting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| BERMAN ROBERT ANDREW C/O COPYTELE, INC. 900 WALT WHITMAN ROAD MELVILLE, NY 11747 | x | | President and CEO | | | | |

Signatures

| /s/Robert A. Berman | 11/13/2013 |
|-------------------------------|------------|
| Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Right-To-Buy, pursuant to the CopyTele, Inc. 2010 Share Incentive Plan.
- (2) The option vests in 36 consecutive monthly installments beginning on 12/31/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

